

## Solutions for Homework 2

MAS501 Analysis for Engineers, Spring 2011

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1. (Discrete metric) Let  $\Omega$  be a set.

(a) Prove that a function  $d : \Omega \times \Omega \rightarrow \mathbf{R}$  defined by

$$d(x, y) = \begin{cases} 0 & \text{if } x = y \\ 1 & \text{if } x \neq y \end{cases}$$

is a metric on  $\Omega$ . The metric  $d$  is called the *discrete metric* for  $\Omega$ .

*Proof.* (주재울 씨 답안 기반) Positivity and symmetry is obvious. Only nontrivial thing is to check triangle inequality. Assume the triangle inequality does *not* hold; then there are some points  $x, y, z \in \Omega$  such that

$$d(x, z) > d(x, y) + d(y, z).$$

If  $d(x, z) = 0$ , then the strict inequality does not make sense so we have  $d(x, z) = 1$ . Again by the strict inequality we have the right hand side  $d(x, y) + d(y, z)$  should be zero. So  $d(x, y) = d(y, z) = 0$ , i.e.,  $x = y = z$ . But then  $d(x, z) = 0$  and this is a contradiction.  $\square$

(b) Let  $(\Omega, d)$  be a metric space with discrete metric. Find all the isolated points of  $\Omega$ . Now can you see why the metric is called *discrete*?

*Answer.* (김동재 씨 답안 기반) By definition of the discrete metric, we have for any  $x \in \Omega$ ,

$$B_{1/2}(x) = \{y \in \Omega : d(x, y) < 1/2\} = \{x\}.$$

And this is an open set which is not containing any other element in  $\Omega$  except  $x$ . Therefore, *all points in  $\Omega$  are isolated points.*

(윤성환 씨의 코멘트) It is reasonable that the metric  $d$  is called *discrete* because the corresponding metric space  $(\Omega, d)$  makes all the points in  $\Omega$  *isolated*.  $\square$

2. (Set operations and compactness)

(a) Let  $K_1, \dots, K_n$  be a finite collection of compact subsets of a metric space  $\Omega$ . Prove that  $\bigcup_{i=1}^n K_i$  is compact. Show (by example) that this result does not generalize to infinite unions.

*Proof.* (장혜령 씨 답안 기반) Let  $J$  be an index set and  $\{G_j\}_{j \in J}$  be an open covering of  $\bigcup_{i=1}^n K_i$ . Then the open covering  $\{G_j\}_{j \in J}$  also covers all of  $K_1, \dots, K_n$ . Hence for each  $K_i$ , we can find a finite subcovering  $\{H_k^{(i)}\}_{k=1}^{n_i} \subset \{G_j\}$  of  $K_i$ . Then obviously  $\bigcup_{i=1}^n (\{H_k^{(i)}\}_{k=1}^{n_i}) \subset \{G_j\}$  is finite and

$$\bigcup_{i=1}^n K_i \subset \bigcup_{i=1}^n \bigcup_{k=1}^{n_i} H_k^{(i)}.$$

This implies that  $\bigcup_{i=1}^n (\{H_k^{(i)}\}_{k=1}^{n_i})$  is a finite subcovering. Therefore  $\bigcup_{i=1}^n K_i$  is compact.  $\square$

*Examples.* (이효정 씨의 반례) Consider sets of single element  $\{i\}$  for each  $i \in \mathbf{N}$ . Then these sets are compact in the Euclidean space  $\mathbf{R}$ . But we have

$$\bigcup_{i=1}^{\infty} \{i\} = \mathbf{N}.$$

Because  $\mathbf{N}$  is *not* bounded, it is *not* compact by the Heine-Borel theorem.

(김도훈 씨의 반례) Let  $K_i := [0, 1 - \frac{1}{i}]$ . Then all  $K_i$ 's are compact in the Euclidean space  $\mathbf{R}$ . But we have

$$\bigcup_{i=1}^{\infty} K_i = [0, 1).$$

Because this set is *not* closed, it is *not* compact by the Heine-Borel theorem.  $\square$

- (b) Let  $I$  be an index set and  $\{K_i : i \in I\}$  be a collection of compact subsets of a metric space  $\Omega$ . Prove that  $\bigcap_{i \in I} K_i$  is compact.

*Proof.* (장혜령 씨 답안 기반) Define  $K := \bigcap_{i \in I} K_i$ . Since each  $K_i$  is compact, it is closed and bounded. Then the set  $K$ , an arbitrary intersections of closed sets, is also closed so  $K^c$  is open. Now let  $\{G_j\}_{j \in J}$  be an open covering of  $K$ , i.e.,  $K \subset \bigcup_{j \in J} G_j$ . Hence it holds that

$$\Omega = K \cup K^c \subset \bigcup_{j \in J} G_j \cup K^c.$$

So  $\bigcup_{j \in J} G_j \cup K^c = \Omega$ . Now fix any index  $i_0 \in I$ . Then obviously

$$K_{i_0} \subset \Omega = \bigcup_{j \in J} G_j \cup K^c,$$

that is,  $\{G_j\}_{j \in J} \cup \{K^c\}$  is an open covering of  $K_{i_0}$ . Because  $K_{i_0}$  is compact, we can find a finite collection of sets  $\{H_k\}_{k=1}^n \subset \{G_j\}_{j \in J}$  such that

$$K_{i_0} \subset \bigcup_{k=1}^n H_k \cup K^c.$$

Hence it holds that

$$K = K \cap K \subset K_{i_0} \cap K \subset \left( \bigcup_{k=1}^n H_k \cup K^c \right) \cap K = \bigcup_{k=1}^n H_k.$$

This implies that  $\{H_k\}_{k=1}^n$  is a finite subcovering of  $K$ . Therefore the set  $K$  is compact.  $\square$

- (c) Let  $K$  be a compact subset of Euclidean space  $\mathbf{R}$  and let  $y \in \mathbf{R}$ . Prove that the set  $\{x + y : x \in K\}$  is compact.

*Proof.* (이효정 씨 답안 기반) Define  $T := \{x + y : x \in K\}$ . (Note that intuitively this set is a translation of the set  $K$ .) We will show that the set  $T$  is closed and bounded.

**( $T$  is closed)** Let  $\{t_n\} \subset T$  be a sequence of real numbers such that  $t_n \rightarrow t$  as  $n \rightarrow \infty$ . Since each  $t_n$  is an element of  $T$ , it can be written as  $t_n = x_n + y$  for some  $x_n \in K$ . Thus it holds that

$$K \ni x_n = t_n - y \rightarrow t - y \quad \text{as } n \rightarrow \infty.$$

Because the compact set  $K$  is closed, we can conclude that  $t - y \in K$ . Therefore

$$t = (t - y) + y \in T.$$

We just proved that the limit is also in  $T$ , so that the set  $T$  is closed.

**( $T$  is bounded)** Since the compact set  $K$  is bounded, it holds that  $K \subset B_r(x)$  for some  $r > 0$  and  $x \in K$ . Then we can easily see that  $T \subset B_r(x + y)$ . Thus  $T$  is bounded.

By the Heine-Borel theorem, the set  $T$  is compact.  $\square$